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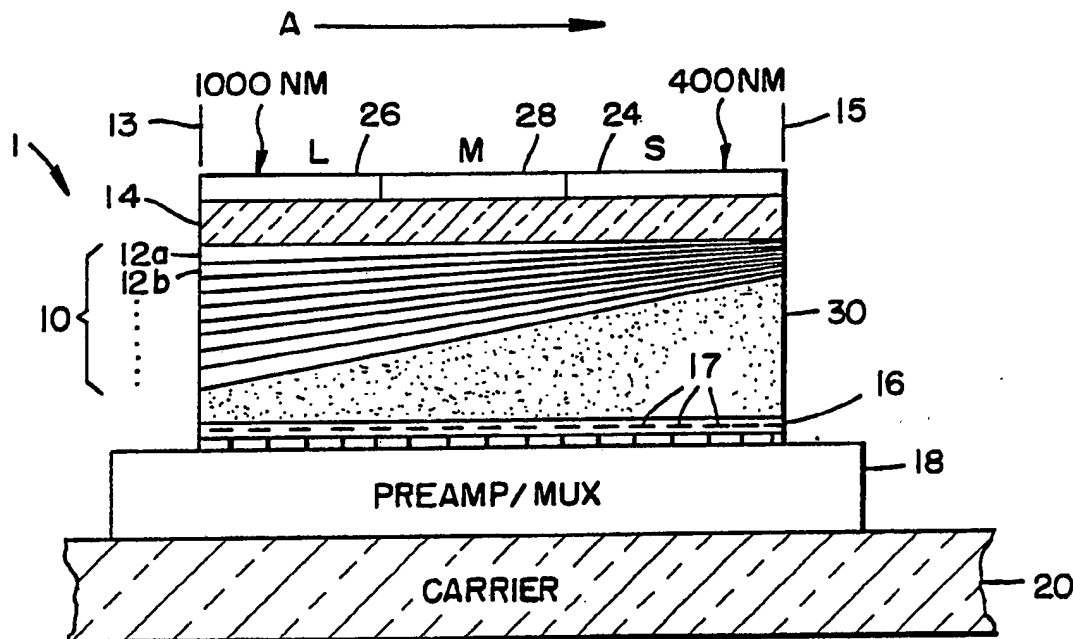
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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(54) Title: WEDGE-FILTER SPECTROMETER



(57) Abstract

A wedge-filter spectrometer (1) comprises means (10) for spectrally dispersing an incident radiation beam comprising a first plurality of layers of high (H) index of refraction material and a second plurality of layers of low (L) index of refraction material, individual ones of the H and the L layers overlying one another in accordance with a given sequence, each of the H and the L layers having a substantially linearly tapered thickness of substantially constant slope, and means for detecting (17) at a plurality of points a spectrally dispersed radiation beam, the radiation beam being spectrally dispersed by the H and the L layers.

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## WEDGE-FILTER SPECTROMETER

FIELD OF THE INVENTION

5       The present invention relates to spectrometers and, in particular, relates to a wedge-filter spectrometer having a compact wedge-shaped spectral disperser optically coupled to an electro-optical detector and also relates to apparatus and methods of fabricating a  
10       wedge-shaped spectral disperser.

BACKGROUND OF THE INVENTION

15       Spectrometers having either a prism or a grating as a spectral dispersing element are known. Both prism and grating spectrometers require that relay optics be provided within the system. The inclusion of such relay optics has an adverse effect on the size and on the mass and stability of the system. In general, the  
20       spectral stability and accuracy of such systems are compromised by the mechanical sensitivity of the prism or grating and associated relay optics. Such an adverse effect on system size, mass and stability is particularly disadvantageous for portable  
25       spectrometers, such as spectrometers intended for mobile and spaceborne applications. In such portable applications the vibration and motion of a platform, in conjunction with the large size and mass of the spectrometer, may render the spectrometer unusable for  
30       its intended purpose.

1 Another type of spectrometer is an interferometer. The  
use of conventional interferometers is also attended by  
several problems. In general, these problems with  
known interferometers are characterized as including  
5 precision moving parts, non-simultaneous wavelength  
acquisition and severe signal processing constraints.

In U.S. Patent No. 3,442,572 Illsley et al. disclose a  
wedged filter which is deposited in a circular path  
10 around a substrate having a diameter of 6.4 centimeters  
by the use of two rotating sector masks or by a  
rotating substrate and a rotating mask in conjunction  
with a stationary sector mask. The rotating elements  
have a 2:1 angular velocity ratio. This wedged filter  
15 is relatively large, its circular shape may be  
unsuitable for many applications and the required  
tooling to fabricate the filter is complex.

It is thus one object of the invention to provide for a  
20 wedge filter having a simplification of manufacturing  
tooling.

It is a further object of the invention to provide a  
wedge filter having a linear wedge.

25 It is a further object of the invention to provide a  
linear wedge filter of small size and mass.

It is still one further object of the invention to  
30 provide a wedge filter which may be advantageously  
integrated with an orthogonally patterned detector  
array.

1

SUMMARY OF THE INVENTION

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The foregoing problems are overcome and the objects are realized by a wedge-filter spectrometer constructed in accordance with a method and apparatus of the invention wherein a wedge-filter spectrometer comprises means for spectrally dispersing an incident radiation beam comprising a first plurality of layers of high (H) index of refraction material and a second plurality of layers of low (L) index of refraction material, individual ones of the H and the L layers overlying one another in accordance with a given sequence, each of the H and the L layers having a substantially linearly tapered thickness of substantially constant slope, and means for detecting, at a plurality of points, a spectrally dispersed radiation beam, the radiation beam spectrally dispersed by the H and the L layers.

20

25

30

There is also disclosed a method of depositing a layer of material upon front surface of a substrate such that the layer has a predetermined linearly tapered thickness along a given axis of the substrate and a substantially constant slope. The method comprises the steps of providing a substantially transparent substrate upon a substrate mount, translating the substrate mount in an oscillatory linear manner along an axis coincident with the given axis, directing a flow of material to the front surface of the substrate in a direction normal to the given axis, the flow depositing a layer of the material upon the front surface. The method further comprises a step of positioning an aperture within the flow such that the aperture selectively blocks the flow from reaching the

1 front surface of the substrate as the substrate is  
translated past an edge of the aperture, the substrate  
thereby being translated into and out of the flow such  
that a linearly tapered thickness of material is  
5 deposited on the front surface. The method further  
comprises the steps of passing a first and a second  
beam of radiation through a back surface of the  
substrate at a first and a second predetermined point,  
respectively, relative to a reference point; detecting  
10 the magnitude of the intensity of the first and second  
beams of radiation after they have passed through the  
substrate and through the layer and comparing the  
detected intensity of each of the beams to a reference  
intensity to determine a difference therebetween, the  
15 difference of the intensity of each of the beams from  
the reference intensity being indicative of the  
thickness of the layer at the first and the second  
predetermined points.

20 BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing aspects of the method and apparatus of  
the invention will be made more apparent in the  
detailed description of the invention taken in  
25 conjunction with the accompanying drawings wherein:

Fig. 1 is a side view of a linear wedge-filter spectral  
disperser integrally joined to a two dimensional  
photodiode detector array;

30 Fig. 2 is a graph which shows the radiation  
transmission passbands of a device constructed in  
accordance with the method and apparatus of the

1 invention, the device not including out-of-band  
blocking layers;

Fig. 3 is a block diagram of linear wedge-filter  
5 fabrication apparatus suitable for accomplishing a  
method of the invention; and

Fig. 4 shows in more detail a portion of the apparatus  
of Fig. 3.

10

#### DETAILED DESCRIPTION OF THE INVENTION

Referring now to Fig. 1 there is shown a side view of  
an illustrative embodiment of a wedge-filter  
15 spectrometer 1 constructed in accordance with the  
method and apparatus of the invention. Spectrometer 1  
is comprised of a continuous spectral disperser 10  
which is constructed of alternating high refractive  
index and low refractive index dielectric layers (12a,  
20 12b, etc.) whose thicknesses are tapered in a  
controlled manner from a first edge 13 of a  
substantially transparent substrate 14 to an opposing  
second edge 15 of the substrate 14. The layers 12 are  
deposited upon the substrate 14 in a manner which will  
25 be described below. Substrate 14 is fabricated from  
material selected to be essentially transparent to  
radiation in the spectral regime of interest, e.g., it  
may be comprised of optical glass for transmitting  
visible radiation or of silicon for near-infrared  
30 radiation (IR). An impinging radiation cone is  
typically oriented at some angle of between, for  
example,  $10^{\circ}$  to  $20^{\circ}$  from a surface normal of substrate  
14.



1       The layers 12 are comprised of high (H) refractive  
index and low (L) refractive index dielectric layers  
deposited one upon another in accordance with the  
sequence

5

H LL HLHLHL HH LHLHLH LL HL

and which are graded in thickness such that each of the  
layers has a constant slope. The layers 12 constitute  
10       an interference filter which isolates a transmission  
passband of wavelength equal to  $4nt$ , where  $n$  is the  
value of either the high refractive index or the low  
refractive index material and  $t$  is the physical  
thickness of a layer of corresponding index of  
15       refraction material at a particular point along the  
spectral disperser 10 from a reference position, such  
as the first edge 13. The spectral disperser 10  
constructed as described above may be integrated with a  
two dimensional array 16 of detector elements 17 to  
20       produce a unitary spectrometer device of small physical  
size and mass operable for discriminating passband  
wavelengths according to specific detector element 17  
locations within the array 16. The detector elements  
may be arranged in an orthogonal two dimensional array  
25       of detector elements characterized in a well known  
manner by rows and columns of detector elements. At  
any particular position along the tapered direction,  
indicated by the arrow A, a wavelength passband profile  
is resolved the bandwidth of which is proportional to  
30       the detector element 17 size and to the center  
wavelength of the passband. Such an integrated  
construction overcomes many of the problems of  
conventional spectrometers in that it eliminates a

1 requirement for relay optics and thus achieves a  
minimum size and a mechanical ruggedness which may be  
utilized with either parallel or focussed radiation and  
which also beneficially provides continuous spectral  
5 coverage over a wide range of wavelengths. For  
example, the spectrometer 1 may be substantially cubic  
in shape having edges of approximately 1 cm in length.

Also shown in Fig. 1 is an integrated circuit  
10 preamplifier/multiplexer 18 which may also be  
integrated with the spectrometer, the preamplifier and  
multiplexer 18 being coupled to individual ones of the  
detector elements 17 in a well known manner for  
selectively amplifying output signals of the detector  
15 elements 17. The preamplifier/multiplexer 18 may be  
further mounted on a suitable carrier 20 which provides  
mechanical support and electrical isolation for the  
spectrometer 1.

20 In the sequence of dielectric layers 12 H represents a  
layer comprised of a high refractive index material  
having an optical thickness substantially equal to one  
fourth of a reference wavelength  $\lambda_0$ . L represents a  
layer 12 comprised of a low index of refraction  
25 material also having a thickness substantially equal to  
one fourth of the reference wavelength  $\lambda_0$ . The  
reference wavelength,  $\lambda_0$ , may be derived from the  
equation

30 
$$\lambda_0 = 4n_H t_H = 4n_L t_L, \quad (1)$$

wherein the subscripts refer to the H or L index of  
refraction material. As can be realized from equation

1 1, if the thickness of a layer is varied a passband  
center wavelength,  $\lambda_c$ , will also vary. Thus, by  
tapering the layer thicknesses along the length of the  
substrate 14 the wavelength of interference will be  
5 different for all positions along the length. Also, by  
depositing the layers such that the thickness of the  
layers has a constant slope, the wavelength of the  
passband will also vary continuously.

10 As an example, the sequence of layers given above  
constitutes a multiple-order interference filter which  
isolates a wavelength passband having a width equal to  
approximately two percent of  $\lambda_c$  at the half  
transmittance points of the filter. The ratio of the  
15 refractive indices  $H/L$  and the order of the filter  
substantially determine the value of the half  
bandwidth. The order of the filter is given, in  
general, by the number of adjacent quarter-wave layers  
disposed within a resonant cavity structure. Thus, a  
20 sequence of layers 12 given by

HL HL HL [HH] LH LH LH

25 defines a resonant cavity having a passband centered  
upon a wavelength of  $\lambda_c = 2\lambda_0$ , the passband having a  
width determined by the number of wavelengths which  
will evenly fit within a spacer layer denoted by the  
layers within the square brackets []. As the thickness  
of the spacer layer is increased, the bandwidth  
30 decreases in an inverse ratio. Furthermore, as more  
such resonant cavities are overlaid one upon another in  
a series fashion, the edges of the passbands become  
steeper and the energy at wav lengths removed from  $\lambda_c$

1 is rejected by reflection to an increasing degree.  
Thus, the out-of-band transmission is attenuated to the  
level where the passband transmission is a virtually  
pure transmission. The wavelength region over which  
5 such rejection occurs is given by a relationship  
between the values of high and low refractive indices  
and is expressed as a fraction of wavelengths. This  
relationship is given by the equation

10 
$$\Delta\lambda_0 / \Delta\lambda_c = 4/\pi \sin^{-1} (n_H - n_L / n_H + n_L). \quad (2)$$

Higher order passbands are found to occur at even  
multiples of the spacer layer thickness. These higher  
order passbands are separated by rejection regions  
15 located at odd multiples of  $\lambda_0$ .

It has been observed that there may be an undesired  
energy component appearing at wavelengths removed from  
the  $\lambda_c$  of interest. It has also been observed that  
20 this undesired energy may be prevented from reaching  
the detector 16 by the addition of interference filter  
blocking stacks 22.

As an example, to provide a spectrometer 1 for  
25 operation over the visible/near IR region, that is the  
region characterized by wavelengths from 400 to 1000  
nm, three blocking filter stacks may be deposited on  
the opposite face of the transparent substrate 14 from  
the tapered layers 12. In region S, a filter stack 24  
30 is provided, the stack 24 rejecting substantially all  
energy of wavelengths greater than 532 nm while  
transmitting efficiently wavelengths below 520 nm. In  
region L, a filter stack 26 is provided which transmits

10

1 efficiently substantially all wavelengths above 770 nm  
while substantially reflecting all wavelengths below  
760 nm. Stacks 24 and 26 may be comprised of constant  
thickness quarter wave layers. In the middle region,  
5 region M, a wide bandpass stack 28 having, in  
accordance with the invention, tapered layers is  
deposited to provide wide range blocking for the  
passbands originating from the middle region of the  
filter 12.

10 .

The stacks 24 - 28 may be characterized as follows:

stack 24, transmissive to wavelengths  $< 520$  nm, has  
layers  $[LH]^6$  1.6  $[LH]^6$ , where L and H are quarter waves  
15 at  $\lambda = 250$  nm;

20

stack 28, transmissive to wavelengths of  $530 \text{ nm} < \lambda < 760$  nm, has layers  $[LH]^7$  2  $[LH]^7$ , where L and H are  
quarter waves at  $\lambda = 420$  nm; and

25

stack 26, transmissive to wavelengths of  $\lambda > 770$  nm,  
has layers  $[LH]^6$  1.3  $[LH]^6$ , where L and H are quarter  
waves at  $\lambda = 520$  nm.

30

The spectral disperser 10 as described above may be,  
after fabrication, coupled to an underlying integrated  
detector, which includes the two dimensional photodiode  
array 16 and the preamp/multiplexer 18, such as by  
bonding the spectral disperser 10 with an epoxy or  
optical cement 30. As can be seen, the cement 30 is  
applied such that it has a corresponding wedged shape  
such that the substrate 14 is aligned in a  
substantially parallel manner with the array 16 of

1 detectors 17. Such a structure as shown in Fig. 1  
achieves, with minimal spacing between detectors 17, a  
significant reduction in detector-to-detector  
crosstalk, this reduction in optical crosstalk being  
5 advantageous in a focal plane type of application.

Although the above described embodiment of the  
invention discloses the use of a solid-state, two  
dimensional detector array, the spectral disperser 12  
10 which forms a part of the invention may be utilized  
with a variety of different types of detectors,  
including vidicons, photomultipliers having  
microchannel plates, CCD imagers, and other such  
photodetecting devices. Also, the use of the wedged  
15 spectral disperser of the invention is applicable over  
a broad range of the electromagnetic spectrum from at  
least the ultra violet through the longwave infrared.  
It should also be realized that in some applications it  
may be desirable to eliminate the transparent substrate  
20 14 and deposit the tapered layers directly onto, for  
example, the detector array or upon a vidicon  
faceplate. This achieves a still further reduction in  
system mass inasmuch as the detector array or vidicon  
faceplate functions in an analogous manner to the  
25 substrate 14.

The graph of Fig. 2 shows the spectral response of a  
spectrometer, constructed in accordance with the method  
and apparatus of the invention, for a range of incident  
30 wavelengths of between approximately 850 to 1100 nm.  
The graph of Fig. 2 can be seen to show well defined  
transmission passbands. It should be noted that the  
graph of Fig. 2 is illustrative of data obtained from a

12

1 spectral disperser not having the out-of-band blocking  
stacks 24-28 deposited thereon.

Referring now to Figs. 3 and 4 there is shown apparatus  
5 operable for realizing a method of the invention of  
producing the tapered layers 12 having a constant  
slope. In general, in order to deposit the layers 12  
such that each has a thickness which varies in a  
constant manner from the first edge 13 of the substrate  
10 14 to the opposing edge 15 the substrate 14 is driven  
at a substantially constant speed as it is exposed from  
behind a knife-edge aperture, in a oscillatory manner,  
to an evaporant stream of either H or L material. The  
knife-edge aperture placed adjacent to the substrate 14  
15 produces a sharply defined evaporant stream deposition  
front. The substrate is held on a precision  
translation stage which is driven by a constant speed  
motor having a position encoder and a feedback control.  
The operation of the motor exposes the substrate to the  
20 evaporant stream. The position encoder tracks the  
linear displacement of the stage to a precision of  
approximately 2 microns. The thickness of a growing  
layer is monitored in a real time manner at two  
positions along the wedge dimension with two different  
25 wavelengths from a laser source. For example, a Nd:YAG  
continuous duty laser having a frequency doubling  
crystal is located outside of a vacuum chamber wherein  
the layers are deposited. A dichroic beam splitter  
physically separates the two wavelengths from the  
30 doubling crystal and directs each of the wavelengths to  
the vacuum chamber where they pass through the  
substrate 14 at precisely known distances from a  
reference edge, such as the edge 13, of the substrate.

1       Such an optical method of monitoring the growth of the  
layer accomplishes at least three valuable features  
simultaneously. Firstly, the quarter wave thicknesses  
5       such that the stopping point of layer growth for each  
layer is precisely determined. Secondly, inasmuch as  
the locations of the passband centers for the two laser  
wavelengths are fixed, an automatic self-calibration of  
wavelength versus distance along the wedge direction is  
10       accomplished. Thirdly, the linearity of the layer  
slope is measured by the two known wavelengths  
transitting the tapered wedge coating at precisely  
known points along the wedge direction.

15       As can be seen in Figs. 3 and 4, a tapered layer  
deposition system 40 as generally described above is  
comprised of a vacuum deposition chamber 42 wherein a  
substrate mount 44 has a substrate 46 affixed thereon.  
Substrate 46 is the transparent substrate upon which  
20       the layers are to be deposited, such as the substrate  
14 of Fig. 1. As previously stated the substrate 46  
may comprise, for example, a radiation detecting array  
or a vidicon faceplate. Not shown in Fig. 3 is a  
vacuum generation means for evacuating the chamber 42.  
25       Also not shown is the constant speed motor, position  
encoder and feedback control which drive the substrate  
mount 44 in a linear oscillatory manner as shown by the  
arrow A. An electron beam source 48 is positioned  
within the chamber 42 for directing an evaporated  
30       stream of H or L material which is to be deposited on  
the substrate 46. The knife-edge aperture 49 provides  
for the sharply defined deposition front. For example,  
the L layers may be comprised of silicon dioxide. The



1 H layers may be comprised of titanium dioxide. These  
materials have been found to be suitable for use with  
wavelengths corresponding to visible radiation. A  
frequency doubled Nd:YAG laser 50 has an output beam 51  
5 of wavelengths of 532 nm and 1064 nm, the output beam  
51 being directed through a chopper 52 to a dichroic  
beam splitter 54 which is operable for separating the  
two wavelengths of the beam 51. The separated  
wavelengths form two distinct beams which travel  
10 substantially parallel one to another as shown by the  
beams B and C. The two beams B and C are directed such  
that they pass through the transparent substrate 46 at  
precisely determined points from a reference point and  
are thereafter reflected by a mirror 56 out of the  
15 chamber 42. Positioned adjacent to a window 58 may be  
a reflector 60 for reflecting the ray C to a first  
detector 62. The ray B is incident upon a second  
detector 64.

20 In accordance with the method of the invention, the  
beams from the YAG laser 50, having two precisely known  
wavelengths, are directed through the transparent  
substrate upon which the layers are being deposited.  
Each of the beams is thereby modified in intensity in  
25 accordance with the thickness of the layer. The  
intensity of each of the beams is detected by a  
separate detector, such as by a photodiode coupled to  
an amplifier. The output of the amplifier is  
indicative of the beam intensity and is thus also  
30 indicative of the layer thickness at the point where  
the beam intersects the layer. Also shown in Fig. 3 is  
a reference detector channel 66 which monitors the  
output of the laser 50 and which is used to normalize

1 the beams B and C which are detected by detectors 62  
and 64. Thus, any intensity fluctuations which may be  
present in the output of the laser are not interpreted  
as changes in deposited layer thickness.

5

A controller 68 may be provided for receiving the  
outputs of the reference channel 66 and the detectors  
62 and 64 for determining the thickness and slope of  
the layers. Controller 68 may include a data  
10 processing means, such as a microcomputer operable for  
reading the intensity values and calculating the layer  
thickness and slope from the intensity values.  
Controller 68 may also be operable for controlling the  
E-beam source to switch between L and H evaporant  
15 material at appropriate times.

The method advantageously provides for a continuous  
determination of the layer thickness at two points  
along the desired taper direction, thereby enabling the  
20 E-beam source to be alternatively switched between H  
and L material when a layer is deposited to a desired  
quarter wave thickness. Also, the linearity of the  
slope of the layer may be simultaneously determined  
inasmuch as the measured beam intensities will differ  
25 one from the other by an amount related to the  
difference in thickness of the layer along the taper  
direction.

It should be realized that the method and apparatus of  
30 the invention disclosed above is illustrative only, and  
that based on the foregoing teaching, modifications  
thereto may occur to those having skill in the art.  
Thus, the method and apparatus of the invention is not

- 1 to be limited by the embodiments disclosed herein, the invention is instead meant to be limited only as defined by the scope of the appended claims.

CLAIMS

What is claimed is:

- 1                    1.    A wedge-filter spectrometer comprising:
- 5                    means for spectrally dispersing an incident radiation beam comprising a first plurality of layers of high (H) index of refraction material and a second plurality of layers of low (L) index of refraction material, individual ones of said H and said L layers overlying one another in a given sequence, each of said H and said L layers having a substantially linearly tapered thickness of substantially constant slope; and
- 10                    means for detecting at a plurality of points a spectrally dispersed radiation beam, said radiation beam being spectrally dispersed by said H and said L layers.
- 15                    2.    A spectrometer as defined in Claim 1 wherein said given sequence is
- 1                    H LL HLHLHL HH LHLHLH LL HL.
- 5                    3.    A spectrometer as defined in Claim 2 wherein said layers isolate a radiation transmission passband having a wavelength ( $\lambda$ ) given by

18

$$\lambda = 4nt$$

10

wherein n is the value of either the H or the L refractive index of the layers, and

wherein t is the total thickness of the layer of corresponding refractive index at a given point along said dispersing means from a reference point.

1

4. A spectrometer as defined in Claim 3 wherein said layers HH of said given sequence are a spacer layer having a thickness substantially equal to a length associated with a number of whole wavelengths.

1

5. A spectrometer as defined in Claim 4 further comprising at least one interference blocking stack interposed between said incident radiation beam and an upper one of said layers.

1

6. A spectrometer as defined in Claim 4 wherein said detecting means comprises a two dimensional array of photodiodes disposed to detect said dispersed radiation beam at a plurality of points.

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7. A wedge-filter spectrometer comprising:

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a substrate comprised of a material which is substantially transparent to a cone of radiation incident upon a first surface of said substrate,

10 a plurality of linearly tapered interference layers disposed upon a second opposite surface of said substrate, said layers being disposed one upon another in a stacked fashion, each of said layers having a widest thickness along a first edge of said substrate and a narrowest thickness disposed along a second, opposite edge of said substrate, certain ones of said plurality of layers being comprised of a material having a high (H) index of refraction and certain ones of said plurality of layers being comprised of a material having a low (L) index of refraction, said H and L layers being arranged one upon another in accordance with a predetermined sequence for spectrally dispersing the incident radiation;

15

20

25

30 a two dimensional array of radiation detectors being disposed substantially parallel to said first surface of said substrate and underlying said plurality of layers, said array being responsive to said spectrally dispersed radiation at a plurality of points therein; and

35 means for maintaining said array of detectors in a parallel orientation with said upper surface.

20

1                   8. A spectrometer as defined in Claim 7  
 wherein said predetermined sequence defines a resonant  
 cavity structure for producing a wavelength passband  
 centered at a wavelength of  $\lambda_c$  where

5                    $\lambda_c$  is the passband center wavelength, and

$\lambda_0$  is a reference wavelength given by

$$\lambda_0 = 4n_H t_H = 4n_L t_L$$

wherein

10                    $n_H$  is the index of refraction of said H  
 material,

$t_H$  is the thickness of said H material,

$n_L$  is the index of refraction of said L  
 material, and

$t_L$  is the thickness of said L material.

1                   9. A spectrometer as defined in Claim 8  
 wherein said predetermined format is defined by layers

H LL HLHLHL HH LHLHLH LL HL

5                   and wherein the layers HH define a spacer layer the  
 thickness of which is determinative of a width of said  
 passband in accordance with the number of wavelengths

$\lambda_c$  that evenly fit within the thickness of said spacer layer.

1                    10. A spectrometer as defined in Claim 9  
wherein said plurality of interference layers comprise  
a plurality of said resonant cavity structures disposed  
one upon another for decreasing the width of said  
5 passband.

1                    11. A spectrometer as defined in Claim 10  
wherein said spectrometer is responsive to radiation  
within a range of wavelengths of approximately 400 nm  
to 1000 nm and wherein said spectrometer further  
5 comprises a plurality of interference blocking stacks  
disposed upon said first surface of said substrate,  
each of said stacks being responsive to a given range  
of wavelengths for rejecting said given range of  
wavelengths.

1                    12. A spectrometer as defined in Claim 11  
wherein said plurality of interference blocking stacks  
comprise three interference blocking stacks disposed  
adjacent one another upon said first surface, said  
5 stacks being disposed from said first edge to said  
second edge.

1                    13. A spectrometer as defined in Claim 12  
wherein

5                    a first one of said stacks is comprised  
of a plurality of substantially constant  
thickness layers of H and L material  
given by



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$[LH]^6 1.3 [LH]^6$ , where L and H are quarter waves at approximately  $\lambda = 520$  nm;

10 a second one of said stacks is comprised of linearly tapered layers of H and L material given by

$[LH]^7 2 [LH]^7$ , where L and H are quarter waves at approximately  $\lambda = 420$  nm; and

15 a third one of said stacks is comprised of substantially constant thickness layers of H and L material given by

$[LH]^6 1.6 [LH]^6$ , where L and H are quarter waves at approximately  $\lambda = 250$  nm.

1 14. A spectrometer as defined in Claim 7  
where said substrate is comprised of optical glass and  
wherein said L material is comprised of silicon dioxide  
and wherein said H material is comprised of titanium  
5 dioxide.

1 15. A spectrometer as defined in Claim 7  
wherein said maintaining means is a tapered layer of  
optical cement.

1 16. A spectrometer as defined in Claim 13  
wherein said cone of radiation is incident upon said  
first surface at an angle of between approximately  $10^\circ$   
to  $20^\circ$  from a normal to said said first surface.

1           17. A method of depositing a layer of  
material upon a first surface of a substrate such that  
the layer has a predetermined linearly tapered  
thickness along a given axis of the substrate, and a  
5 substantially constant slope, comprising the steps of:

providing a substantially transparent  
substrate upon a substrate mount;

10 translating the substrate mount in an  
oscillatory linear manner along an axis  
coincident with the given axis;

15 directing a flow of material to the  
first surface of the substrate in a  
direction normal to the given axis, the  
flow depositing a layer of the material  
upon the first surface;

20 positioning an aperture within the flow  
such that the aperture selectively  
blocks the flow from reaching the first  
surface of the substrate as the  
substrate is translated past an edge of  
the aperture and behind the aperture,  
the substrate thereby being translated  
into and out of the flow such that a  
linearly tapered thickness of material  
25 is deposited on the first surface;

passing a first and a second beam of  
radiation through a back surface of the  
substrate at a first and a second

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30 predetermined point, respectively,  
relative to a reference point;

detecting the magnitude of the intensity  
of the first and second beams of  
radiation after they have passed through  
35 the substrate and through the layer; and

comparing the detected intensity of each  
of the beams to a reference intensity to  
determine a difference therebetween, the  
difference of each of the intensities  
40 from the reference intensity being  
indicative of a thickness of the layer  
at the first and the second  
predetermined points.

1 18. The method of Claim 17 further  
comprising a step of comparing the detected intensity  
of the first beam to that of the second beam to  
determine a difference therebetween, the difference  
5 being indicative of the slope of the taper thickness  
between the first and the second points.

1 19. Apparatus for depositing a layer of  
material upon a first surface of a substrate such that  
the layer has a predetermined linearly tapered  
thickness along a given axis of the substrate and a  
5 substantially constant slope, comprising:

means for mounting a substantially  
transparent substrate;

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means for translating said mounting means in an oscillatory linear manner along an axis coincident with the given axis;

15

means for directing a flow of material to the first surface of said substrate in a direction normal to said given axis, the flow depositing a layer of the material upon said first surface;

20

means for positioning an aperture within the flow such that said aperture selectively blocks the flow from reaching said first surface of said substrate as the substrate is translated past an edge of said aperture and behind said aperture, said substrate thereby being translated into and out of the flow such that a linearly tapered thickness of material is deposited on said first surface;

25

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means for passing a first and a second beam of radiation through a back surface of the substrate at a first and a second predetermined point, respectively, relative to a reference point;

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means for detecting the magnitude of the intensity of said first and said second beams of radiation after they have

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passed through said substrate and  
through said layer deposited thereon;

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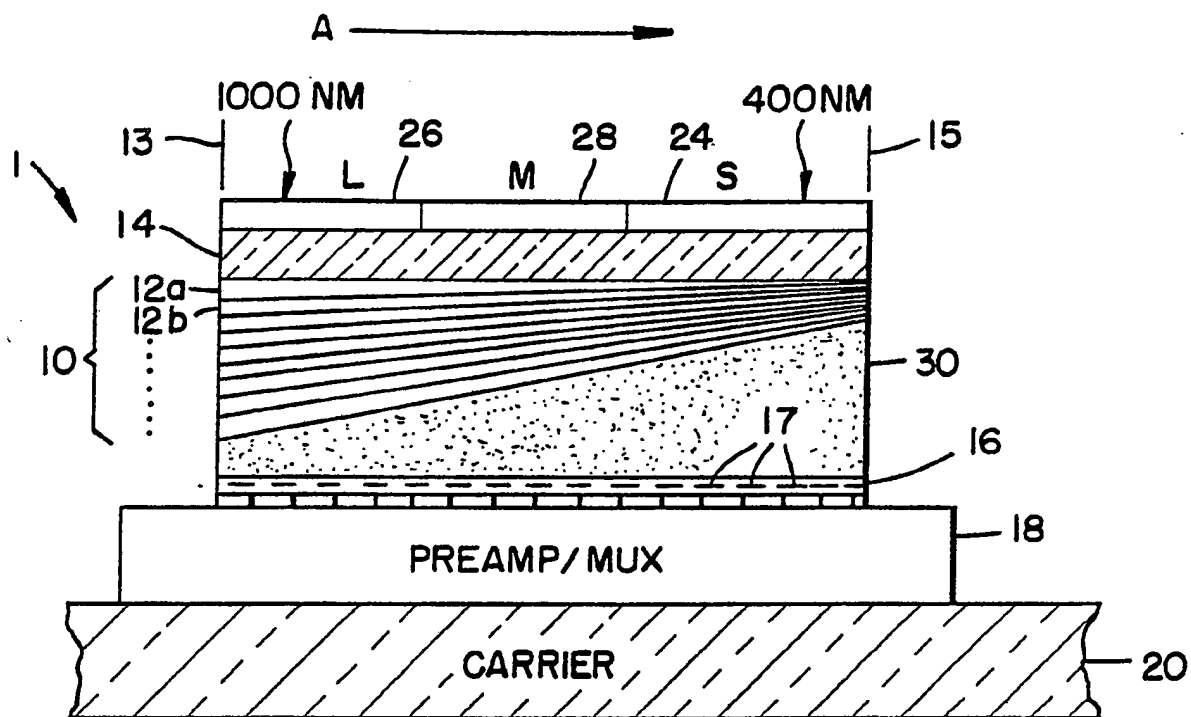
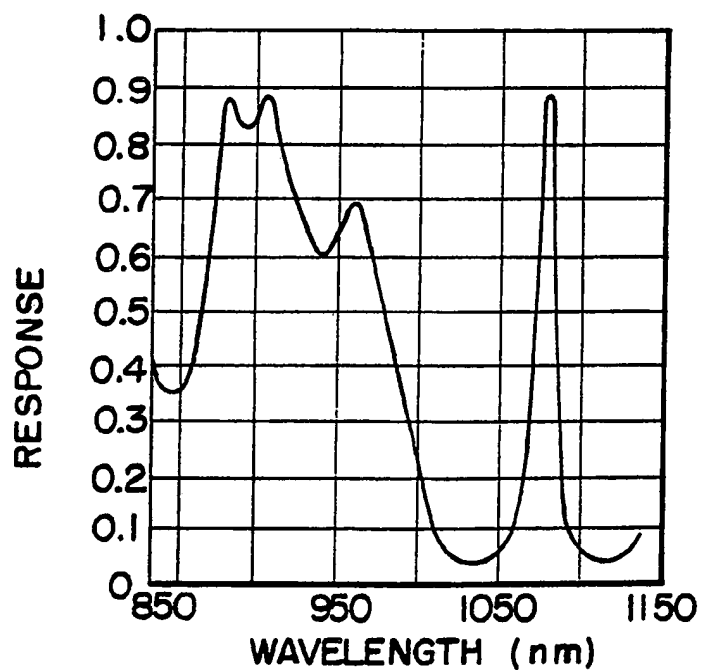
means for comparing said detected  
intensity magnitude of each of said  
beams to a reference intensity to  
determine a difference therebetween, the  
difference of each of said intensities  
from the reference intensity being  
indicative of a thickness of said layer  
at said first and said second  
predetermined points.

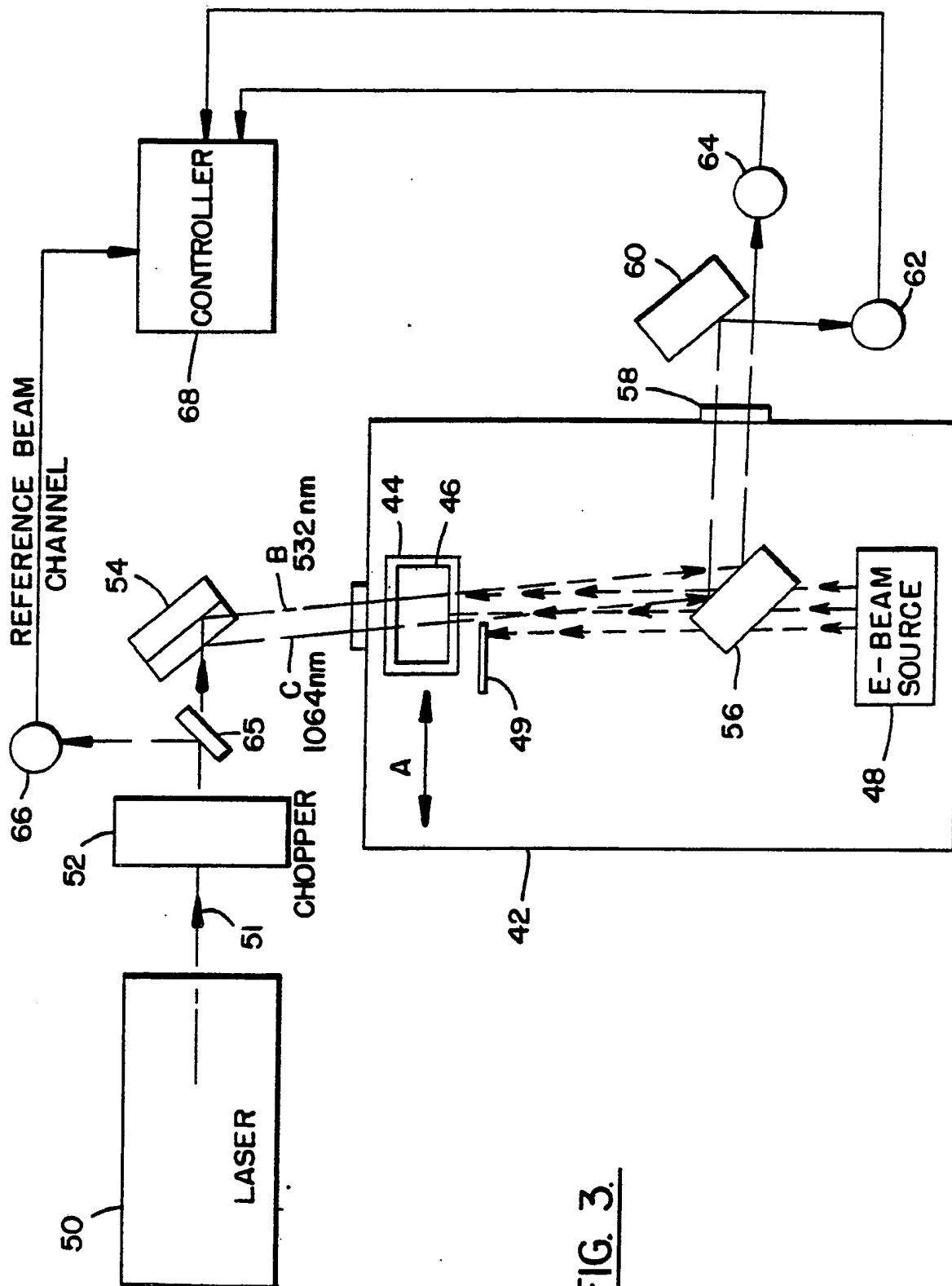
45

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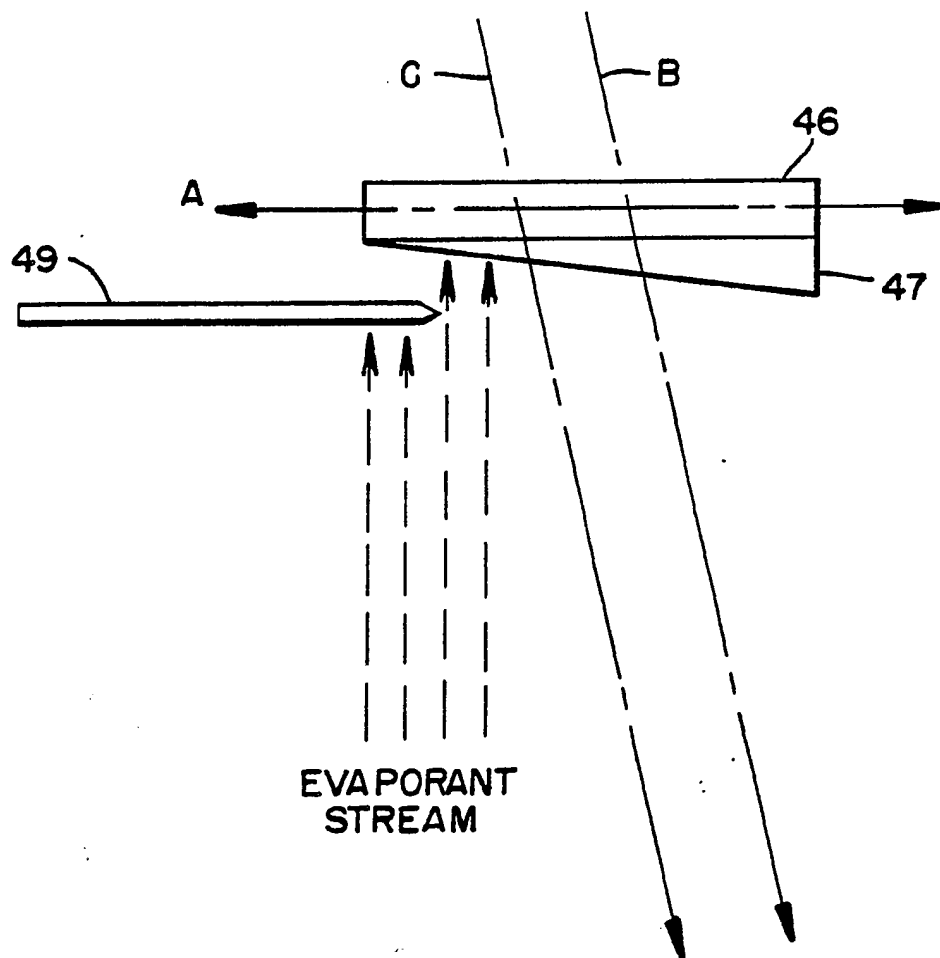
20. The apparatus of Claim 19 further  
comprising means for comparing said detected intensity  
of said first beam to that of said second beam for  
determining a difference therebetween, the difference  
being indicative of the slope of the taper thickness  
between said first and said second points.

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FIG. 1.FIG. 2.

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**FIG. 3.**

FIG. 4.



# INTERNATIONAL SEARCH REPORT

International Application No PCT/US 88/03898

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) * According to International Patent Classification (IPC) or to both National Classification and IPC IPC4: G 02 B 5/28, G 01 J 3/36		
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black; margin: 5px 0;">Minimum Documentation Searched †</div> <div style="display: flex; justify-content: space-between;"> <span>Classification System :</span> <span>Classification Symbols</span> </div> <div style="padding: 10px 0;">           IPC4                      G 01 J, G 01 N, G 02 B         </div> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black; margin: 5px 0;">Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched ‡</div>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT †</b>		
Category *	Citation of Document, †† with indication, where appropriate, of the relevant passages ‡‡	Relevant to Claim No. ‡‡
Y A  Y A  A  A	US, A, 4054389 (JEN) 18 October 1977, see figures 1,2 <div style="text-align: center;">--</div> US, A, 3442572 (R.F. ILLSLEY ET AL) 6 May 1969, see claims 1,2,4 <div style="text-align: center;">--</div> US, A, 4346992 (SCHWARTZ) 31 August 1982, see the whole document <div style="text-align: center;">--</div> US, A, 3929398 (BATES) 30 December 1975, see the whole document <div style="text-align: center;">--</div>	1,7 6  1,7 2-4,8-16  1-16  1-16
<div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p>* Special categories of cited documents: †‡</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 48%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"Δ" document member of the same patent family</p> </div> </div>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search 14th March 1989		Date of Mailing of this International Search Report <div style="text-align: center; font-weight: bold; font-size: 1.2em;">30 MAR 1989</div>
International Searching Authority <div style="text-align: center; font-weight: bold;">EUROPEAN PATENT OFFICE</div>		Signature of Authorized Officer <div style="display: flex; align-items: center;"> <div style="margin-right: 10px;">M. VAN NOL</div> </div>

## III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)

Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No
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A	US, A, 2708389 (F.W. KAVANAGH) 17 May 1955, see claims 1-4 --	5, 11, 12
A	Derwent's abstract, No. 86 231 694/35, SU 748 747, publ. week 8635 --	14, 17- 20
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A	Patent Abstracts of Japan, Vol 10, No 143, P459, abstract of JP 60-262102, publ 1985-12-25 HORIZA SEISAKUSHO K.K. --	17-20
A	Patent Abstracts of Japan, Vol 10, No 127, P455, abstract of JP 60-252304, publ 1985-12-13 HITACHI SEISAKUSHO K.K. -- -----	17-20

ANNEX TO THE INTERNATIONAL SEARCH REPORT  
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		DE-A-C- 2739585	30/03/78
		GB-A- 1542347	14/03/79
		JP-A- 53039784	11/04/78
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US-A- 3442572	06/05/69	US-A- 3530824	29/09/70
		DE-A-B,C 1472196	19/09/74
US-A- 4346992	31/08/82	NONE	
US-A- 3929398	30/12/75	NONE	
US-A- 2708389	17/05/55	NONE	
DE-A1- 3026370	15/01/81	GB-A- 2054195	11/02/81
		JP-A- 56035109	07/04/81

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